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BEVERLY A. LYMAN, Ph.D.

**WOOD, HERRON & EVANS, L.L.P.**

2700 Carew Tower  
441 Vine Street  
Cincinnati, Ohio 45202-2917  
Telephone: 513-241-2324  
Facsimile: 513-241-6234  
EMAIL: info@whepatent.com

**PATENT, TRADEMARK, COPYRIGHT  
AND UNFAIR COMPETITION LAW  
AND RELATED LITIGATION**

EDMUND P. WOOD 1923-1968  
TRUMAN A. HERRON 1935-1976  
EDWARD B. EVANS 1936-1971

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OF COUNSEL  
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**FACSIMILE COVER SHEET**

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\*ADMITTED ONLY IN D.C. AND VA

**TO:** The Honorable Commissioner  
Attention: Ramy M. Osman  
Art Unit 2157

**FROM:** David H. Brinkman

**Fax No.:** (703) 872-9306

**Phone:** (513) 241-2324

**Date:** January 28, 2005

**Fax:** (513) 241-6234

**Pages (including cover page):** 15

**Re:** U.S. Serial No. 09/886,895 METHOD AND APPARATUS FOR REGULATING  
NETWORK ACCESS TO FUNCTIONS OF A CONTROLLER  
Attorney Docket No. NOR-1006

**ATTACHMENTS/COMMENTS: OFFICIAL**

Please deliver to Ramy M. Osman  
Amendment Transmittal (3 pages) (in duplicate)  
Amendment (8 pages)

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted  
to the Patent and Trademark Office centralized facsimile number (703)  
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\_\_\_\_\_  
David H. Brinkman Date

January 28, 2005

**Certificate of Facsimile**

I hereby certify that this correspondence is being deposited via facsimile to The Honorable Commissioner in the United States Patent and Trademark Office, Attention: Ramy M. Osman whose telephone number is (571) 272-4008 and centralized facsimile number is (703) 872-9306 on January 28, 2005.

  
David H. Brinkman, Reg. No. 40,5321/28/05  
Date**RECEIVED  
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JAN 28 2005****PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Carl N. Baron  
Serial No.: 09/886,895  
Filed: June 21, 2001  
Confirmation No.: 6116  
Group Art Unit: 2157  
Examiner: Osman, Ramy M.  
Title: **METHOD AND APPARATUS FOR REGULATING NETWORK  
ACCESS TO FUNCTIONS OF A CONTROLLER**  
Atty Docket: NOR-1006

Cincinnati, Ohio 45202

January 28, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.
2. ☐ Small Entity status is claimed.  
☒ Other than a Small Entity.
3. The fee has been calculated as shown below:

Page 1 of 3

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		LARGE ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For		Extra	Present Rate	Fee	Present Rate	Fee
TOTAL	6	MINUS	20	= 0	x \$25	\$0	x \$50	\$0
INDEP.	2	MINUS	3	= 0	x \$100	\$0	x \$200	\$0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$180	\$0	+ \$360	\$0
TOTALS					TOTAL FEE	\$0	TOTAL FEE	\$0

- ☆ If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 ☆☆ If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
 ☆☆☆ If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid for" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

☒ No additional fee for claims is required.

4. Attached is a check in the sum of \$\_\_\_\_\_.

\_\_\_\_\_ Please charge my Deposit Account No. 23-3000 in the amount of \$\_\_\_\_\_ for \_\_\_\_\_ extra independent claims.  
A duplicate copy of this sheet is attached.

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Complete (a) or (b) as applicable.

(a) \_\_\_\_\_ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
___	one month	\$ 120.00	\$ 60.00
___	two months	\$ 450.00	\$225.00
___	three months	\$1,020.00	\$510.00
___	four months	\$1,590.00	\$795.00

\_\_\_ Attached is a check in the amount of \$\_\_\_ for the three month extension fee as required by 37 C.F.R. § 1.17(c).

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

\_\_\_ An extension for \_\_\_ months has already been secured and the fee paid thereof of \$\_\_\_ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$\_\_\_.


OR

(b) XX Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

XX If any additional fee for claims or extension of time is required, charge Account No. 23-3000. A duplicate of this transmittal is attached.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

  
\_\_\_\_\_  
David H. Brinkman  
Reg. No. 40,532

2700 Carew Tower  
441 Vine Street  
Cincinnati, OH 45202  
(513) 241-2324 - Voice  
(513) 421-7269 - Facsimile

Page 3 of 3

**Certificate of Facsimile**

I hereby certify that this correspondence is being deposited via facsimile to The Honorable Commissioner in the United States Patent and Trademark Office, Attention: Ramy M. Osman whose telephone number is (571) 272-4008 and centralized facsimile number is (703) 872-9306 on January 28, 2005.

  
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XX If any additional fee for claims or extension of time is required, charge Account No. 23-3000. A duplicate of this transmittal is attached.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

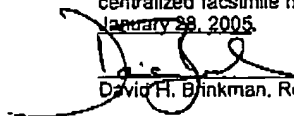
  
\_\_\_\_\_  
David H. Brinkman  
Reg. No. 40,532

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Cincinnati, Ohio 45202

January 28, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT**

Sir:

In response to the Office Action mailed on November 5, 2004, please  
amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page  
2 of this paper.

**Remarks/Arguments** begin on page 6 of this paper.